Committee on Open Government: [https://opengovernment.ny.gov/](https://opengovernment.ny.gov/)

**PUBLIC ACCESS TO RECORDS - SUBJECT MATTER LIST**

June 2023

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PUBLIC ACCESS TO RECORDS

Fee Schedule for Copying

General:

Paper Prints (up to 9" x 14")     $.25 per page (8 pages or more)
Plans, Drawings, Maps:      White prints $.50 per square foot
Film                          $1.50 per square foot
Aperture Cards                $.20 each
Glossy Photographs           $1.50 each (8" x 10" or 5" x 7" black and white only
                              from existing negatives)

Other Records:

For any other record not specified above, the fee to be charged shall be the actual cost of
reproducing the record, except when a different fee is otherwise prescribed by statute or
regulation.
EXECUTIVE

COMMISSIONER AND EXECUTIVE DEPUTY COMMISSIONER

- Executive by Subject Matter
- Subject by Subject Matter
- Local Properties by Subject Matter
- Out of Town Properties by Subject Matter
- Business Units by Subject Matter
- Administration and Operations by Subject Matter
- Finance and Accountability by Subject Matter
- Communications and Marketing by Subject Matter
- Real Property and Facilities Management by Subject Matter
- NYS Procurement by Subject Matter
- Business Services Center by Subject Matter
- Minority and Women-Owned Business Enterprises by Subject Matter
- Legal Services by Subject Matter
- Agency Files by Agency

LEGAL SERVICES

- Executive Chamber
- Executive Offices
- Advisory Council on Procurement Lobbying
- State Procurement Council
- Council of Contracting Agencies
- Legal Services
- Administration
- Litigation
- Legislation
- Small Claims
- Freedom of Information Law Requests, Responses and Appeals
- Financing; Bonds and Certificates of Participation
- Legal Research and Opinions
- Rules and Regulations
- State Employees Federated Appeal (SEFA)
- Memoranda of Understanding (MOU) and Intergovernmental Agreements

Business Units

- Administration and Operations
- Design and Construction
- Procurement Services
- Real Property and Facilities Management including Building Administration and Real Estate Planning
- Business Services Center
- Finance and Accountability
- Service-Disabled Veteran-Owned Business Enterprise Program
COMMUNICATIONS AND MARKETING

- OGS Press Releases
- OGS Authored Publications
- OGS News Media Clippings
- Miscellaneous Business Files
- Freedom of Information Requests
ADMINISTRATION AND OPERATIONS

[Inquiries concerning hosted agency’s records should be directed to the hosted agency Public Information Office.]

DIVISION OF HUMAN RESOURCES MANAGEMENT (DHRM)

Human Resources Administration (HRA)

- Agency Human Resources Policy and Procedures
- NYS Civil Service Law – Policies and Procedures
- Early Departure Memos and Directed Early Departure Orders
- Safe Driver Program Training Records

Personnel Services Unit (PSU)

- Confidential Salary Requests [i.e.: Budget Director's Approval (BDAs)]
- Civil Service Eligible Lists, Agency Promotion Lists, Transition Lists, Agency Title Specific Open Competitive Lists, and any decentralized Civil Service eligible lists.
- Examination File by Title
- Job Postings – present and past year
- Agency Outreach for Employment Opportunities
- Employment Applications – current year
- Position Classification Files
- Employee Discretionary Leave Records

Workforce Development Office (WDO)

- Employee Development Records
- Job Skills and Career Development Program Information (i.e.: announcements, class rosters)
- Equipment Inventory for the 29th floor Training/Conference Room
- Program Presentations and Curricula

Leave Management Unit (LMU)

- Family and Medical Leave Act (FMLA)
- Non-FMLA Medical Leave
- Maternity and Paternity Leave
- Workers’ Compensation
- Military Leave
- Requests for Sick Leave at Half-Pay
- Leave Donation
- Short Term & Long-Term Disability
- Paid Family Leave
- Employee Health Service Examination Requests
**Labor Relations Unit (LR)**

- Collective Bargaining Agreements
- Grievance Determinations and Settlement Records
- Labor-Management Committee Agendas, Meeting Minutes and general records
- Employee Relations Advisories and Policy Statements issued by the Office of Employee Relations (OER)
- Arbitration Decisions
- General Employee Counseling and Discipline Records
- Employee Health Service Examination Requests
- Workplace Violence Complaints and Assessments

**Management Services Unit (MSU)**

- Reasonable Accommodation Requests and Determinations
- Agency Alternative Work Schedule Agreements
- Mandatory Drug Testing Administrative Records
- Transfer of Function Files
- Management Performance Measurement Information
- Retirement Transactions
- Retirement Letters/Certificates
- Unemployment
- 206c Coordinator
- Work at Home
- Domestic Violence Liaison
- VRWS
- Performance Evaluations – Reviews and Unsatisfactory Appeals
- Part-Time

**Inclusion & Employee Engagement (I&E)**

- Diversity & Inclusion Reporting Requirements
- Employee Recognition Programs (Performance Awards, Years of Service, etc.)
- Recruitment & Outreach for Employment Opportunities
- OGS “Foundations” Orientation Program
- Employee Exit and Engagement Surveys
- Student Internship Program

**SUPPORT SERVICES**

**Central Printing and Copy Center**

- Memoranda of Understanding (MOU)
- Finance and Budget Reports
- Listing of Customer Agencies, Jobs and Job Costs
- Samples of Materials Produced
- Vendor Contracts and Purchasing Documents
- State Agency Invoices
• Print Order Forms  
• Inventory Reports  
• Vehicle Utilization Logs

**Emergency Management and Planning**

• No public content due to security purposes

**Food Distribution**

• United States Department of Agriculture (USDA) Food Distribution  
  o Finance and Budget Reports  
  o USDA Grant Award Documents  
  o Vehicle Utilization Logs

• All Commodity Programs  
  o Audit Reports  
  o Physical Inventory Reports  
  o Compliance Reviews  
  o USDA Food Order Status Reports  
  o Food Preference Survey  
  o School Lunch Advisory Council (SLAC) members listing  
  o WebSupply Chain Management (WBSCM) Reports

• Federal Commodity Program  
  o Commodity Container Fund Claims  
  o Warehouse Vouchers  
  o National School Lunch Program (NSLP) annual billings  
  o Summer Camps Annual Billings  
  o Child and Adult Care Food Program (CACFP) annual billings

• The Emergency Food Assistance Program (TEFAP)  
  o Food Bank Agreements

• Processing Program  
  o Agreements  
  o Diversion Survey  
  o List of Processors  
  o Commodity Processing Items

• Special Milk Program  
  o Summer Camps/Year-Round Child Care Institutions Agreements  
  o Applications  
  o Federal Letters of Credit  
  o Claim Forms
• USDA Unprocessed Fruit and Vegetable Pilot Program
  o USDA Approved Submission Application
  o Report of Monthly Vendor Payments

Mail and Freight Security

• Mail and Freight Security
  o Vehicle Utilization Logs
  o Finance and Budget Reports
  o Memoranda of Understandings (MOU)
  o Daily Delivery Schedule
  o Mailing Cost Sheet
  o US Postal Service (USPS) Financial Report
  o USPS Postage Utilization
  o Fed Ex Summary Report

• Dockmaster and Screening Room
  o No public content due to security purposes

State and Federal Surplus Property

• Federal Surplus Property
  o Finance and Budget Reports
  o Eligibility Determinations
  o United States General Services Administration (GSA) Reports
  o Property Acquisition Documents
  o Listing of Approved Donees
  o Log of Property Applications
  o Property Disposition Documents
  o Material on transfer, receipt and payment for property, invoices
  o State Plan of Operation
  o Vehicle Utilization Logs

• State Surplus Property
  o Auctioneer Contract
  o Finance and Budget Reports
  o Inventory Reports
  o Declarations of Surplus (CS-201 & CS201.1)
  o Detailed Descriptions and Sales Results: Gov Deals and Vehicle Auctions
  o Local Dispositions
  o Transfer Notices
  o Vehicle Auction Schedule
Warehouse Consolidation and Distribution Operations

- Consolidated Warehousing and Distribution Operations
  - Client Agreements and Memoranda of Understanding (MOU)
  - Finance and Budget Reports
  - Lease Agreements
  - Inventory Reports
  - Vehicle Utilization Logs
  - Vendor Contracts

- Brentwood Distribution Center
  - Client Agreements and Memoranda of Understanding (MOU)
  - Finance and Budget Reports
  - Inventory Reports
  - Lease Agreements
  - Vehicle Utilization Logs
  - Vendor Contracts

- Campus Distribution Center
  - Inventory Reports
  - Vehicle Utilization Logs
  - Vendor Contracts

OTHER PROGRAMS

Affirmative Action/Equal Employment Opportunity

- Sexual Harassment in the Workplace Complaints
- Reasonable Accommodation Requests and Determinations
- Hostile Work Environment Complaints
- Discriminatory Practice Complaints

Convention and Cultural Events Office

- Convention Center
  - Equipment inventory
  - Event program files and correspondence
  - Purchasing records
  - Event calendars

- Empire State Plaza (ESP) Farmer’s Market Initiative
  - Quantities of Produce and Baked Goods donated
Curatorial and Visitor Services

- Curatorial Services
  - Art Collection files
  - Art Commission Files
  - Art Loan files
  - Tour files
  - Purchasing Records
  - Past Perfect Database
  - Executive Mansion Preservation Society Files
  - Capitol Commission Files
  - Vietnam Gallery Files

- Plaza Gift Shop
  - Purchasing and Sales Records

Flag Notifications

- Correspondence
PROCUREMENT SERVICES

Bid Solicitation Information

• Agency Purchase Requests filed
• Delivery Point and Quantities Sheets for filed requirement contracts, requirement letters filed by agencies (e.g.: fuels, milk and road salt)
• Invitations for Bid and Requests for Proposal documents and any applicable purchasing memoranda
• Request for Quotation documents
• Group Specifications
  o Appendix A: Standard Clauses for NYS Contracts (available on OGS website)
  o Appendix B: General Specifications (available on OGS website)
• Lists of bidders for specific bid openings, bid tabulations for specific bid openings [Request for Proposals (RFP) with no direct price quote]
• Memoranda to the Office of the State Comptroller (OSC) regarding bid rejections for specific bid openings [Agency Specific Procurements only between 04/01/2012 and 08/15/2019.]
• Bid Tabulation Checklist for specific bid openings
• Contract Award Letters and Executive Contracts
• Notices of Contract Awards and related information (purchasing memoranda)
• Purchasing Instructions
• Copies of original bids of successful contractors [After 08/15/2019 (reinstatement date of OSC pre-audit), copies of original bids are also filed with OSC]
• Request for Information (RFI) documents
• Request for Comments documents
• Procurement Record Memoranda and Checklists
• NYS Contract Reporter Advertisements
• Dispute correspondence
• Debriefing documents
• Contract Assignment documents
• Bidding 101 - Guide to Legislative and Administrative Requirements

Miscellaneous Data

• Group Assignment Listing
• Schedule of Bid Openings
• Term Contract Listing
• Restricted Period List
• Performance Measures Documentation
• Benchmarking and Benefits Summaries
• NYS eMarketplace

Purchasing Procedures

• NYS Procurement Council - Procurement Guidelines
• Correspondence
• Purchasing Bulletins
Vendors

- Contract Performance Information
- Correspondence
- Report of Contract Purchases
- Report of Contract Deviations

Preferred Source Program

- Preferred Source Guidelines (available on OGS website)
- List of Preferred Source Offerings (available on OGS website)
- Correspondence
- Bulletins

Customer Services and Administration

- Customer Database of Agencies authorized to purchase from OGS Centralized Contracts – Purchaser Notification Service (PNS)
- Purchaser Notification Service (PNS) – On-line site for purchasers to receive electronic contract notifications
- Certificates of Qualification - Required of certain non-State agencies that receive OGS Procurement Services contracts to confirm their eligibility
- Correspondence
- Training Presentations (available on OGS website/Purchasing Forum)
- Schedule of Training Outreach Opportunities (available on Procurement Services Training Resources webpage)

Business Outreach Program (Resident, Small, Minority & Women-Owned Enterprises)

- Correspondence with individual businesses and business organizations
- Correspondence with other entities
- Mailing list data
- Record of contracts awarded
- “Doing Business with NYS: A Guide to the State’s Procurement Practices” (available on OGS website)

Solid Waste / Energy Conservation Program

- Listing of contracts incorporating recycled materials, solid waste management and energy efficient contracts (available on OGS website)
DESIGN AND CONSTRUCTION

Division of Cost Management

- Financial Billing Reports
- Contractual Services Reports
- Effort Accounting Records
- Cost Estimating Services
- Change Management Services
- Project Control
- Design and Construction (D&C) Information Technology (IT)
- Plan File – NYS owned facilities

Quality

- ISO 9001:2008 Oversight and Responsibilities Constructability Code Review
- Construction Permitting Records
- Annual Construction Permitting

Scheduling

- Project Schedules

Division of Design

- Architect/Engineer (A/E) Documentation, Calculations, Photographs and Renderings
- Design Phase Project Correspondence
- Construction Specifications Institute (CSI) Master Specifications
- Topographic and Property Line Survey Maps of NYS facilities
- Computer Aided Design and Drafting (CADD) Related Drawings of NYS facilities
- Asbestos and Materials Testing Reports

Division of Construction

- Construction Status Reports
- Construction Contracts
- Workload Distribution Reports
- Approved Submittals and Shop Drawings
- Construction Phase Correspondence
- Contractor’s Progress Schedules and Revisions
- Contractor’s Certified Payroll
Division of Contract Management

- Notice of Award
- Consultant Payment Records
- Consultant Contracts
- Contractor’s List of Sub-Contractors and Suppliers
- Construction Contract Payment Records
- Field Order and Change Order Records
- Construction Agreement / Bonds Bidding Documentation
- Records of Contract
- Contractor Responsibility Records
- Contractors’ Experience Questionnaires
- Contractors’ Financial Statements
- Contractors’ Performance Evaluation
- Dispute Records
- Public Notification Service Subscription Records
- Consultant Work Order Reports
- Consultant Procedure Manual
- Consultants’ Letters of Interest and Requests for Proposals
- Consultant Selection Reports
- Modified SF254 Forms
- Consultant Contract Reports
- Consultant Pay Rate Schedules
DIVISION OF REAL ESTATE

REAL PROPERTY AND FACILITIES MANAGEMENT

Director’s Office / Building Administration

- General Subject files

Downstate Region

- Building files
- General Subject files
- Inspection Records
- Monthly Reports
- Service Contract Records

Empire State Plaza and Downtown Buildings Region

- Building files
- General Subject files
- Inspection Records
- Service Contracts Records
- Monthly Reports
- Permits to Demonstrate

Centralized Store Operations

- Counter Releases (signed copies of employee receipts when stock is withdrawn)
- Supply Stock Purchase Records

Harriman Campus and Upstate Region

- Building files
- General Subject files
- Inspection Records
- Service Contract Records
- Monthly Reports

Parking Management

- Miscellaneous Permit Sale and Visitor Parking Revenue Records
- Contractual Data
- Bus Operations
- Snow Removal
- Parking Locations - Albany areas; outlying areas
- Vehicle Damage Reports
Contract Administration and Purchasing Support

- Contract correspondence
- Supporting Purchase documentation
- Documentation for Construction Service billings

Capital Planning

- Project Initiation Request (PIR) form
- Project Approvals [BDC-153, Design and Construction (D&C) Project Acceptance Letter, B—1184 Approvals, etc.]
- Project Correspondence [Design Meeting Minutes, Design Documents, Certificate of Occupancies (CO), Construction Meeting Minutes, Project Close Out documents, etc.]

Capital/Preventative Maintenance and Rehabilitation and Improvement Programs

- Preventative Maintenance and Rehabilitation Improvement Plans (Plan Documents, Spending Reports, etc.)
- Project correspondence

UTILITIES MANAGEMENT

Statewide Energy Conservation Program

- Energy/Environmental/Capital Projects and Coordination Deliverables
- NY Power Authority (NYPA) Energy Services contracts
- NYS Department of Health (DOH) / NYCDOH Cooling Tower database
- Executive Order 88, 166, BuildSmart NY2025
- Program Unit Technical Training
- Energy Accounting files
- Consumption/Reduction Benchmarking Trends
- ENERGYSTAR-Portfolio Manager database
- Cooling Cost per ton
- Energy Calculations - fan cost per hour
- Digital Energy
- Web Enabled Advanced Metering
- Supervisory Control and Data Acquisition
- Production Servers – Facility Automation Enterprises

Empire State Plaza and Campus Central Air Conditioning Plants

- Air conditioning plant calculations
- Central Plant daily logbooks
- Electronic Distribution log
- Flow recordings
- Pressure recordings
- Refrigeration Machine logs
- Temperature recordings
- Tons of refrigeration recordings
Sheridan Avenue Steam Plant and Campus Steam and Diesel Electric Plant

- Title V Air Permit
- Opacity Reporting
- Diesel Generator Operating log
- Flue Gas temperature
- Flue Gas log
- Boiler log sheets
- Steam Flow recordings
- Steam Plant daily logbooks
- Steam Plant daily log sheets
- Water Treatment log sheets

Empire State Plaza, Riverfront Pumping Station

- Plant daily logbooks
- Plant daily log sheets
- Pump fore bay levels

All Buildings

- Equipment maintenance records and schedules

Health and Safety

- Hazardous Material files
- Air Quality and Environmental files
- Injury and Illness files
- Accident / Incident Reports
- Lock Out Tag Out and other safety procedures
- Workplace Violence Risk Assessments
- Safety Policies and Procedures
- Safety Data Sheets

REAL ESTATE SERVICES

Executive Office

- Budget Preparation and Coordination
- General Group Activity Files
- Monthly Reports
- Requisitions
- Special Reports
- Correspondence files
- Request for Proposals (RFP) for Tenant Representative and Special Real Estate Service Contracts
- RFP Evaluations and Recommendations
- RFP Contract Approval Documentation with Office of State Comptroller (OSC)
CONSTRUCTION / DESIGN MANAGEMENT AND TENANT SERVICES

Design Studio

- Project related emails
- Construction Design documents (e.g.: drawings, building permits, specifications)
- Design calculations and estimates
- Project related photos

Construction Services

- Project correspondence
- Project files
- General Group Activity files
- Building Asbestos results

Tenant Space Alteration Projects Management

- Tenant Alteration Requests (TAR) form
- Project correspondence

Lease Administration Audit

- Operations
- Audit Reports
- Priority Project Reports
- Requisitions

Lease Management

- Leasing Procurement Documents including Requests for Information (RFI) and evaluations
- Leasing Documents
- Leases and Permits RPPU-555
- Audit and Control Transmittals
- Expiration Notices
- Lease Offerings file
- Landlord Transmittals
- Lease Modification Agreements
- Abstracts
- Survey Reports on Properties Offered
- Temporary Lease Agreements
- Hold-Over Lease Agreements
- Rental Rates for State Office Buildings
- Disclosure/Vendor Responsibility
- Report of Current Leases (city, agency and/or county)
- OGS Contractor/Vendor Obligations under State Finance Law §139-J and §139-K form
Space Planning and Construction Services

- Project Management documentation
- Planning documents
- Agency Space Requirements
- Position Codes and Standard Allocation
- Space Assignments and Release Notices
- Agency Contact Listing
- Building Statistics
- State Office Buildings
- State Leased Buildings
- Space Assignments by Agency for State Office Buildings
- Space Assignments by Buildings for State Office Buildings
- Minutes of Meetings
- Inspection Reports
- Project Schedules
- Contractor’s Proposals and/or Change Orders
- Final Inspection Report
- Tenant Representative Contract Construction Related Documents
- Project Management Agreements/Task Orders/Payment Documentation

STATE ASSET AND LAND MANAGEMENT

Land Management Administration

- Monthly Financial and Revenue Reports
- Budget Material
- Correspondence files
- Individual Case Register Sheets
- Miscellaneous Subject files
- Monthly Reports

Activities Relating to State Lands

- Appraisal – Information available after project closed
- Easements, Colonial Grants, Abandoned Canal Lands
- Inventory of Selected State-owned Lands
- Land Title Inventory
- Land Use Inventory
- Active Surplus Property List for Auction Scheduling
- Statewide and Regional mailing lists for Public Auction notification
- Minutes of the Board of Commissioner of the Land Office (for available years: 1784-1960)
- Real Property Case Files (generally, but not always for closed cases only)
- Agency and Inter-agency Comments
- Applications
• Appraisal Contracts
• Appraisal Reports
• Correspondence
• Environmental Impact Statement
• Field Inspection Reports
• Findings
• Hearing Minutes
• Inspection and Hearing Reports
• Proposed Grant Documents
• Remonstrances
• Notice of Sale
• State Environmental Quality Review Act Determinations
• Surveys, Legal Descriptions
• Title Information
• Outer Continental Shelf Study
• Library of Reference Material
• Canal Board Minutes
• Atlases
• State boundary records
BUSINESS SERVICE CENTER

[Inquiries concerning customer agency’s records should be directed to the customer agency Public Information Office.]

FINANCE

Accounts Payable

- All agency payment records, except Design and Construction (D&C) records processed directly by D&C and out of Business Services Center (BSC) scope, and transactions processed by agencies including some grant payments.

Purchasing

- All agency purchase orders, except records processed directly by D&C and agency specific contract purchase orders, and grant purchase orders.
- Request for Quote (RFQ) information

Accounts Receivable

- Receipts on Accounts Receivable
- Accounts Dunning Letters
- Refunds of Appropriation for payments returned and/or refunded by vendors

Credit cards

- Procurement and/or Travel Card information
- Rebate data

Travel

- Travel Records

Human Resources – Personnel Administration

- Employment Eligibility Verification (I-9) forms
- No Fault Insurance Claim information requests
- Paid Family Leave applications
- Employee Personal History folders
- Employment Verification requests
- FOIL and Records requests

Benefits Administration

- Employee Benefit information
- OGS Employee Benefit selections and records
- Retirement System information
- Beneficiary information
Payroll Administration

- Agency Payroll information
- Employee Tax Withholding information
- Direct Deposit
- Verification of Employment

Time and Attendance

- Employee Time Records
- Overtime Records
- Miscellaneous payments recorded through LATS-NY
- Personal Data Changes

HUMAN RESOURCES INFORMATION TECHNOLOGY (HRIT) SYSTEM REPORTS

Administrative Services

- Internal Controls and Risk Management

Customer Care

- Customer Agency Listing
- Service Level Agreements and Memoranda of Understanding (MOU)
- Communications to customer agencies
- Assistant Secretary of the Army (ASA) Delegation of Authority forms

Data Analytics

- Performance Metrics
- Tableau Dashboard creation and maintenance
- Role Mapping for Statewide Financial System (SFS), RightNow, FileNet
- SFS Security Administration
- Database development and maintenance
- Agency reporting and distribution

Customer Support

- Incoming emails and phone inquiries
- RightNow development and maintenance
- Help Center Question & Answers (Q&A)
FINANCE AND ACCOUNTABILITY

Office of Business Diversity (OBD)

- Investigations regarding non-compliance with agency MWBE/SDVOB mandates.
- Evaluations of the agency’s current Minority and Women-Owned Business Enterprise practices, goal setting for MWBE & SDVOB participation in procurements and Utilization Plan submissions.
- Contractor compliance of goals through use of NYS Contract System & DcNet.
- Quarterly, Annual and Quadrennial reports to Empire State Development (ESD) on OGS and host agency utilization of MWBE’s. And the same reported to the OGS Division of Service-Disabled Veterans’ Business Development for the use of SDVOBs on OGS only procurements.
- MWBE certification assistance in the form of expediting certification application request and assistance with general questions.
- Public information regarding contracting opportunities with OGS.
- MWBE/SDVOB Outreach Initiatives.

Finance

- Contracts and solicitation for OGS specific, non-construction contracts
- OGS credit card records.
- OGS Budget related information.
- Executive Mansion financial information.
- Some Agency records related to billings.
- OGS accounts receivable information
- OGS Internal Controls Certification information
- Statewide Capital Assets information

Fleet Management

- OGS vehicles
- Administrator for the Asset Management System (AMS) for agency vehicles
- All passenger vehicle information as supplied to a central database by agencies
- NYS Fuel credit card system
- Fuel billings to state agencies
- All vehicle accidents involving state vehicles

Bureau of Risk and Insurance Management

- All insurance policies entered into by the state.
Energy Planning and Procurement Group

- All electricity purchased by OGS on behalf of state agencies from the New York Independent System Operator (NYISO)
- All Natural Gas purchased by OGS.
- All documents related to the New York State - New York Power Authority (NYPA) contract.
- All electricity purchased by OGS on behalf of political subdivisions from the NYISO.

Service-Disabled Veterans’ Business Development

- Service-disabled veteran-owned business (SDVOB) certification applications
- SDVOB certified businesses
- Agency SDVOB goal plan documents
- Reports on SDVOB usage